



# **USyd-NTU Ignition Grants Guidelines for Applicants**

## **Purpose**

As strategic partners, the University of Sydney (USyd) and the National Taiwan University (NTU) are committed to achieving impact through collaboration in research and education.

The Ignition Grants are a new initiative to support researchers from USyd and NTU to facilitate and support collaborative projects that develop multidisciplinary cutting-edge research to create academic and societal impact. These grants are designed to provide researchers, in particular Early and Mid-Career Researchers (EMCR), with the opportunity in developing joint research projects.

It is expected that all funded projects will evolve into larger scale projects, sustained by leveraging external funding. Evidence of external funding submissions will be required in final project reports.

In this round, up to five proposals may receive up to the equivalent of AUD 10,000 (NTD 200,000) from each university for a total of approximately **AUD 20,000 (NTD 400,000)** for each proposal. These proposals must be led by **two Chief Investigators**; one from USyd and one from NTU. Additional collaborators from USyd, NTU and other universities are welcome to be involved.

Preference will be given to teams which include PhD or masters by research students, postdocs, Early and Mid-Career Researchers (EMCR) and researchers on sabbatical leave, rather than one-to-one research projects. Multidisciplinary projects of bigger scale which seek to address the UN's Sustainable Development Goals are highly encouraged.

# 1. Eligibility

- 1.1. Proposals are invited from academic staff from all disciplines at USyd and NTU and their affiliated Research Centres and Institutes.
- 1.2. Applicants from affiliated Research Centres and Institutes must be University Title Holders.
- 1.3. Chief Investigators
  - USyd: Chief Investigators must be employed on a full-time, part-time (appointed at least 0.5 FTE), fixed term or continuing basis. If on a fixed term contract, the end date must be beyond the funding period.
  - NTU: Chief Investigators must be tenure-track faculty member and not on sabbatical leave throughout the project period.
- 1.4. PhD and masters by research students, post-docs, and research assistants/associates are not eligible to apply as Chief Investigators but they are welcome to join the applications.
- 1.5. Current USyd recipients of other funding from the USyd Office of Global & Research Engagement whose project is still ongoing and will not have finished by the application close date may apply for concurrent grants but are required to submit a progress report of their current project when submitting their application. The progress report should be an attachment to the application single page, narrative update on progress to date and unexpended award balance.
- 1.6. Previous USyd recipients of other funding from the USyd Office of Global & Research Engagement whose projects have finished or will have finished by the application close date may only apply for consecutive grants if they have submitted their final report.

#### 2. Duration

Funding is available January 2024 until 31 December 2024.

#### 3. Important Dates

Applications open	1 September 2023
Applications close	20 October 2023, 11:59pm AEDT / 8:59pm TST
Successful applicants notified	early-December 2023
Latest date for projects to start	31 January 2024
Latest date for projects to end	31 December 2024
Final reports due	31 March 2025
Final reports update	30 November 2025

#### 4. Eligible Grant Activities

- 4.1. Expenses/activities eligible for USyd funding include:
  - Airfares: Only travel on economy class will be considered
  - Other travel expenses (e.g. train, rental car)
  - Costs for hosting seminars/conferences or workshops
  - Joint virtual events (conference, workshop, webinar, forum, symposium)
  - Accommodation and subsistence whilst travelling abroad
  - Consumables
  - Publications and printing costs
  - Fieldwork
  - Data gathering and analysis
  - Experiments to develop proof of concept
  - Initiatives that promote the development of industry and government linkages
- 4.2. Expenses/activities eligible for NTU funding include:
  - Airfares: Only travel on economy class will be considered
  - Other travel expenses (e.g. train, rental car)
  - Costs for hosting seminars/conferences or workshops in Taiwan
  - Joint virtual events (conference, workshop, webinar, forum, symposium)
  - Accommodation and subsistence whilst travelling abroad
  - Hosting foreign, non-NTU scholars (daily stipend in principle)
  - Fieldwork
- 4.3. All travel activities must comply with the guidelines of the respective universities.

**USyd** – All USyd travel must comply with the <u>Travel Policy 2018</u> and follow all relevant processes and policies, including travel insurance as outlined on the <u>Travel intranet page</u>. USyd researchers should refer to <u>www.smartraveller.gov.au</u> for the latest visa and travel advice.

**NTU** – All NTU expenses and travel must comply with Ministry of Education regulations and other relevant NTU Office of International Affairs regulations.

- 4.4. Activities **not eligible** for USyd and NTU funding:
  - General teaching
  - An individual staff member's research projects, unless as catalytic funding for the establishment of an international research collaboration with the prospect of external funding
  - Event registration fees
  - Website development
  - Salaries or salary supplementation, and honoraria
  - Scholarships for students
  - Course fees for international students

- Bench fees
- Mobile phone cards
- Entertainment costs
- Computers, including laptops (excluding access to high-performance computers or other specialised applications that are justified by the project) and basic computing facilities such as printers, word processing and other standard software.

#### 5. Submission Requirements

All proposals must be submitted online and include the following elements:

- Ignition Grants Application Form (online)
  - Details of team involved and the role and contribution of each member
  - Project details (title, abstract, description in layman's terms)
  - Expected outcomes
  - Selection criteria (see point 6)
  - Project timeline
  - Project budget
- CV of the USyd Chief Investigator (maximum two pages in length) which should include:
  - Qualifications, current position, and employment history
  - 10 career-best publications
  - Awards and relevant presentations
  - Current grant income
  - Collaborative track record (limited to 200 words)
- CV of the NTU Chief Investigator (maximum two pages in length) which should include:
  - Qualifications, current position, and employment history
  - 10 career-best publications
  - Awards and relevant presentations
  - Current grant income
  - Collaborative track record (limited to 200 words)
- Endorsement by the relevant USyd Head of School. A pre-filled Endorsement Coversheet is automatically generated by the application system after completion of the Ignition Grants Application Form (online) and sent to the USyd Chief Investigator's email for this purpose. The signed coversheet must be uploaded before submission.
- A brief letter of support in English on official letterhead from any additional institution/organisation (other than USyd or NTU) that intends to formally collaborate.

## 6. Scoring and Selection Criteria

- 6.1. Priority is given to applications that align with the strategic research areas of both universities. Applications in other fields showing good prospect of sustainability are also welcome.
- 6.2. Criteria do not have weightings; proposals are assessed holistically. Each proposal will be assessed according to the selection criteria described below.

Score	Category	Justification
4	Excellent	Proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor
3	Very Good	Proposal addresses the criterion very well, but a small number of shortcomings are present
2	Good	Proposal addresses the criterion well, but a number of shortcomings are present
1	Weak	Criterion is inadequately addressed or there are serious inherent weaknesses

Selection Criteria	Description
Quality & Relevance	
Execution	<ul> <li>Elaborate on the workplan and milestones outlined in the timeframe (there are separate sections in the application form for an outline of activities in the Timeline and Budget). Proposed timeframes and budget estimates should be realistic and appropriate.</li> <li>The long-term plan for engagement to attract research funding and produce publications will be taken into consideration.</li> <li>This section should also address the appropriateness of the allocation of tasks, ensuring that all participants [including PhD or masters by research students, postdocs, Early and Mid-Career Researchers (EMCR)¹, and any researchers on Sabbatical Leave] have a valid role and there are adequate resources in the project to enable them to fulfil that role.</li> <li>300 word maximum</li> </ul>
Impact	<ul> <li>Outline what the participants will gain from the project and how it will contribute to the discipline and field of research.</li> <li>Explain how the project will establish or strengthen a durable cooperation between the universities and participants.</li> <li>Explain how the project will impact beyond the academic sphere (i.e. benefit society, address global challenges, <u>Sustainable Development Goals</u>, etc).</li> <li>Explain how the project activities and results will be communicated to different target audiences.</li> <li>300 word maximum</li> </ul>

# 7. Expected Outcomes

Capturing the outcomes of successful projects is vital in helping to measure the overall success and impact of the Ignition Grants. Expected outcomes could include one or more of the following:

Category	Outcome
Research quality and influence	The research:  - will advance knowledge in the field which addresses an issue of importance  - may result in fundamental outcomes  - is innovative in concept  - will use well established approaches to good effect
Publications	<b>At least one</b> (1) refereed publication in a nationally/internationally recognised scholarly journal

<sup>1</sup> Early-Career Researchers are defined as those within 10 years of award of PhD and Mid-Career Researchers are defined as those between 10-15 years of award of PhD.

External funding	<b>At least one</b> (1) Category 1-3 <sup>2</sup> national/international competitive grant submission
	Details of the external funding bodies to which you plan to submit applications must be identified within the application form, and evidence of external funding submissions will be required in final project reports.
Optional	
Training & education	Supervision and mentoring of PhD students/postdoctoral researchers/ECRs
Joint Workshop	Organise at least one (1) joint workshop at either institution. This may be inperson, virtual or hybrid.
Conference	At least one (1) conference presentation. This may be online.

Industry engagement is encouraged, but not expected. If proposals include industry engagement, then details should be provided in the timeline/activities section of the online application.

#### 8. Assessment Process

Award selection will be made by a joint committee formed by members of both universities, which reserves final authority over the selection of projects taking into account university and faculty strategic priorities, and the availability of funds.

### 9. Application Submission

- 9.1. All applications must be jointly submitted by a Chief Investigator from USyd and a Chief Investigator from NTU.
- 9.2. A copy of the questions included in the online application form (including budget) is available for preview from the USyd Funding Schemes Site at <a href="http://sydney.edu.au/award-schemes">http://sydney.edu.au/award-schemes</a>
- 9.3. All applications must be submitted online via the USyd Funding Schemes Site at <a href="http://sydney.edu.au/award-schemes">http://sydney.edu.au/award-schemes</a>.
- 9.4. Applications can only be initiated by the Chief Investigator from USyd using their UniKey and password. Chief Investigators from NTU and strategic partner universities may only access and edit the applications after invitation from USyd Chief Investigators to be a "Collaborator" of the application.
- 9.5. All applications require the endorsement by the relevant USyd Head of School. A pre-filled Endorsement Coversheet will be sent to the USyd Chief Investigator by email after completion of the online form. It is the responsibility of the USyd Chief Investigator to upload the signed Endorsement Coversheet to USyd Funding Schemes Site before final submission. It is highly recommended to provide Head of School with the Endorsement Coversheet and a downloaded copy of the completed online application well in advance of the application deadline to ensure sufficient time for endorsement.

<sup>&</sup>lt;sup>2</sup> Category 1: Australian Competitive Grant Research Income. For example, the ARC, NHMRC, MRFF, etc.
Category 2: Other Public Sector Research Funding. Other Australian Government schemes and business enterprises and State and local governments and partly government-owned or funded bodies.

Category 3: Industry and other Funding for Research. Research grants or contract research with Australian or international industry or non-Australian Government agencies. Funding through donations, bequests, charities and foundations (both Australian and international).

- 9.6. Only complete submissions will be considered.
- 9.7. Late applications will not be considered.

#### 10. Notification of Application Outcomes

Successful applicants will receive a letter of award outlining the process to accept the award including payment. Feedback on individual applications will not be provided.

## 11. Change of Investigator

Applicants should contact the Program Coordinator at each institution. Such changes will need to be approved and documented.

## 12. Forfeiture of Grants

USyd – Funding from USyd is available until 31 December 2024.

For USyd Chief Investigators, it is recommended you make sure you use your awarded funds within your allocated timeframe and spend as much as possible upfront. Buy any flight tickets you have budgeted well in advance with flexible options, pre-pay any budgeted accommodation to guarantee your expenses count against the funding you have been awarded.

Each month, funds matching actual expenditure from the previous month will be transferred into the Chief Investigator's university project account by Corporate Finance. The transfer of funds will cease when the awarded amount is reached or 31 December 2024, whichever comes first. Any expenditure above the awarded amount will need to be cleared to another Faculty/School account (such as consulting or other as appropriate).

 NTU – Grants from NTU not spent by 31 December 2024 must be returned so that unspent balances can be re-allocated.

#### 13. Extensions

Under exceptional circumstances extensions will be approved for a maximum period of six months. Applicants seeking an extension must submit an Amendment Request Form along with a revised budget and timeline of activities to the Program Coordinators for approval. Amendment Request Forms are available upon request from the Program Coordinators.

# 14. Financial and Reporting Obligations

- 14.1. The funding should only be used for the approved project and in accordance with the proposal and the budget plan. It must not be used for any other purposes and prior approval is needed for any variation in the budget items. Request for variations from original proposals must be submitted to both universities for approval.
- 14.2. Awardees should adhere to the purchasing guidelines of the respective universities to which reimbursement is to be sought. Reimbursement of each expenditure item will be capped at the approved amount.
- 14.3. All awardees must submit a **final report** by **31 March 2025**. Failure to submit a completed report will result in all members of the project team being ineligible to submit future funding applications and, for successful applicants from University of Sydney, mention of the Ignition Grants will be removed from their University of Sydney online Academic Profile.

- 14.4. Awardees from USyd must complete the **acquittal** of awarded funds in consultations with their Finance Manager, by **31 March 2025** and provide a statement as part of their final report. An acquittal statement template is provided with the report pro-forma online through the <u>Funding Schemes Site</u> which is linked to your application. This is not required for recipients from NTU.
- 14.5. Awardees must provide an update to the Final reports by 30 November 2025.
- 14.6. The activation of research funding accounts is not tied to ethics/other approvals being obtained. It is the responsibility of Chief Investigators to ensure that no work requiring approval is commenced until such approvals are in place.

#### 15. Marketing and Communications

USyd recipients may be contacted by University of Sydney Marketing and Communications for the purposes of promoting their successful funding application and informing others of their research.

#### 16. Publications

- 16.1. Any publications (e.g. abstracts, articles) or dissemination (e.g. public presentations) arising from activities supported by the Ignition Grants should acknowledge assistance received. The standard acknowledgment is "USyd-NTU Ignition Grants".
- 16.2. Publications should appear in internationally recognised scholarly journals and should be published within 18 months after the end of the project.
- 16.3. Copies or notifications should be submitted to the Office of Global Engagement and Research Office at USyd and Office of International Affairs at NTU.

# **Further Information**

For further information please contact the Program Coordinators:

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